JC02 Rec'd PCT/PTO 2 9 MAR 2002

| FORM PTO-1390 U.S. DEPARTMENT OF COS (REV. 9-2001) | | | | | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|------------------------------------------------|--|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES | | KUBOTA 0007 | | | | | | |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5 | | | | | | |
| CONCERNING A FILING UNDER 35 U.S.C. 371 | | 10/088,028 | | | | | | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| PCT/JP00/05947 | 1 September 2000 | 17 September 1999 | | | | | | |
| TITLE OF INVENTION PARALLEL COMPUTER ARCHITECTURE, AND INFORMATION PROCESSING UNIT USING THE ARCHITECTURE | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US FURUSHO, Shinji | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 2. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | | | | |
| 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| b. has been communicated by the International Bureau. | | | | | | | | |
| c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | |
| a. is attached hereto. | | | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
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| . d. have not been made and will not be made. | | | | | | | | |
| 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | |
| 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. An assignment document for reco | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. A FIRST preliminary amendment | A FIRST preliminary amendment. | | | | | | | |
| 14. A SECOND or SUBSEQUENT p | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | |
| 15 A substitute specification. | A substitute specification. | | | | | | | |
| | A change of power of attorney and/or address letter. | | | | | | | |
| 17. A computer-readable form of the | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. | | | | | | | |
| 18. A second copy of the published in | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. Other items or information: | | | | | | | | |
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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 10/088.028 PCT/JP00/05947 | | /050 <i>47</i> | ATTORNEY'S DOCKET NUMBER KUBOTA 0007 | | | | |
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| 10/088,028 | | | | | CULATIONS | | |
| _ | ing fees are submitted: | | | | | | |
| | FEE (37 CFR 1.492 (a | | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00 | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO | | | | | | | |
| but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 | | | • | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ | *** | | |
| | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | |
| Total claims | - 20 = | | x \$18.00 | \$ | | · · · · · · · · · · · · · · · · · · · | |
| Independent claims | - 3 = | | x \$84.00 | \$ | | | |
| MULTIPLE DEPEN | DENT CLAIM(S) (if a | • • • • • • • • • • • • • • • • • • • | + \$280.00 | \$ | | <u> </u> | |
| | | OF ABOVE CALCU | | \$ | *** | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ | | | |
| SUBTOTAL = | | | \$ | *** | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ ⁻ | | | |
| TOTAL NATIONAL FEE = | | | | \$ | *** | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | | | |
| TOTAL FEES ENCLOSED = | | | \$ | *** | | | |
| ***Filing Fees previously paid upon entry into the national phase on 14 March 2002. | | | | ount to be refunded: | \$ | | |
| | | | | | charged: | \$ | |
| The state of the second st | | | | | | | |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed. | | | | | to cover the above fees. | | |
| | | | | | | | |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1281 . A duplicate copy of this sheet is enclosed. | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| information should not be included on this form. Provide credit card information and authorization on P10-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR | | | | | | | |
| 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | / | | |
| GRIFFIN & SZIPL, P.C. | | | | | + | | |
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| Facsimile: (703) 979-7429 31,799 | | | | == | | | |
| | | | | | NUMBER | · | |
| Date: 29 March | | | | | | | |